

Public Document Pack

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A meeting of **Corporate Governance & Audit Committee** will be held in Committee Room 2, East Pallant House on **Tuesday 22 March 2016 at 9.30 am**

MEMBERS: Mrs P Tull (Chairman), Mr G Hicks (Vice-Chairman), Mr G Barrett, Mr I Curbishley, Mr T Dempster, Mrs N Graves, Mrs P Hardwick, Mr F Hobbs, Mr P Jarvis, Mr S Morley, Mr P King (Auditors) and Mr M Young (Auditors)

SUPPLEMENT TO AGENDA

10 **Strategic and Operational Risks** (Pages 1 - 3)

The committee is requested to note a) the current strategic risk register and the internal controls in place plus any associated action plans to manage those risks and b) the high scoring organisational risks and the mitigation actions in place, and to raise any issues or concerns.

Revised Appendix 2 attached

Corporate Risk Register – Programme Board Risks Quarterly Update

Report Author: Helen Belenger
Generated on: 16 March 2016



Risk Status	
	Alert
	High Risk
	Warning
	OK
	Unknown

Infrastructure Board

Controls Pending


Status	Risk No.	Risk Area	CMT Lead	Original Score	Previous 1/4ly Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 01	Failure to engage or reach agreement with delivery partners	AF	9	9	3	3	31-Mar-2017	Improving
	PBR 02	Failure of partners to deliver	AF	8	8	6	3	31-Mar-2017	Improving

Commercial Board

Controls Pending


Status	Risk No.	Risk Area	CMT Lead	Original Score	Previous 1/4ly Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 03	Staff resources to deliver projects	JH	16	16	6	4	31-Mar-2017	Improving
	PBR 04	Financial resources to deliver projects	JH	8	8	6	4	31-Mar-2017	Improving
	PBR 05	Impact of recession on income streams	JH	6	6	6	2	31-Mar-2017	Improving

Infrastructure Board

Status	Risk No.	Risks Area	HoS Lead	Original Score	Previous Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 01	Failure to engage or reach agreement with delivery partners	AF	9	9	3	3	31 March 2017	Good

Mitigation - Delivery partners will be engaged through the production of the Infrastructure Business Plan and consulted on the draft version. Given the partnership approach to infrastructure planning, areas of potential disagreement should have less impact.


The first draft Infrastructure Business Plan was approved by Council on 1 March 2016 following initial preparation, extensive consultation and amendment in accordance with the agreed governance arrangements. It provides transparency about which projects will be funded from the CIL in the first 5 year rolling period and will be reviewed annually.

Status	Risk No.	Risks Area	HoS Lead	Original Score	Previous Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 02	Failure of partners to deliver	AF	8	8	6	3	31 March 2017	Good

Mitigation - Delivery partners will be engaged through the production of the Infrastructure Business Plan and consulted on the draft version. Given the partnership approach to infrastructure planning and the allocation of CIL or other funding in some cases, the likelihood of partners not delivering is reduced.


Delivery partners have been engaged and consulted on the draft Infrastructure Business Plan throughout its preparation. The likelihood of partners not delivering is therefore reduced although this is not wholly within the Council's control.

Commercial Board

Status	Risk No.	Risks Area	HoS Lead	Original Score	Previous Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 03	Staff resources to deliver projects	JH	16	16	6	4	31 March 2017	Improving


9 March 2016

Resources in the Estate services have been agreed in the base budget for next financial year. A recent recruitment exercise has resulted in two new appointments in the service to cover the reduction in hours by key personnel and the resignation of another member of staff. A further recruitment exercise is needed to recruit a capital project officer (new post) to assist in the delivery of a number of major projects in the next financial year.

Status	Risk No.	Risks Area	HoS Lead	Original Score	Previous Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 04	Financial resources to deliver projects	JH	8	8	6	4	31 March 2017	Improving

9 March 2016

The investment fund has been increased to allow further projects to come forward.

Status	Risk No.	Risks Area	HoS Lead	Original Score	Previous Review Score	Current Score	Target Score	Target Date	Internal Controls
	PBR 05	Impact of recession on income streams	JH	6	6	6	2	31 March 2017	Improving

15 March 2016

It was felt that the Office of National Statistics (ONS) data is raising some concern about the state of the economy, and as the Council is quite reliant on its own income streams this risk should remain on the risk register.